

# FXFLAT ACCOUNT OPENING FORM

## TRADING PLATFORM

Select platform	MetaTrader 5	MetaTrader 4	AgenaTrader
Extension	none	StereoTrader	MetaTrader Plus
Initial deposit (Minimum 200 €)			
Found through (optional)			

## CONTACT INFORMATION

Salutation	Ms.	Mr.	
Firstname			Country code + regional code
Surname			Mobile phone
Email			Country code + regional code
Street/No.			Landline (optional)
Zip/Place of residence			Yes, I agree that my personal data can be used for the communication of personalised, promotional information and I take note of the privacy policy. The agreement can be revoked for the future at any time by e-mail or by sending a message to the contact data given in the imprint.
Country			
State			

## PERSONAL DATA

Birthday				Place of birth	
Marital status	unmarried	married	divorced	widowed	Tax resident in
Nationality				Tax id	
Do you have a second nationality?	Yes	No			
Second nationality					

Type of client	Private	Professional
At least two of the following criteria are satisfied:		
You have carried out transactions, in significant size, on the relevant market at an average frequency of 10 per quarter over the previous four quarters.		
The value of your financial instrument portfolio, defined as including cash deposits and financial instruments, exceeds EUR 500,000.		
You have pursued a profession for at least 1 year in the financial sector, requiring knowledge of the herein considered financial transactions and investment services.		

According to section 6 (2) no. 1 Money Laundering Act, there is an obligation to determine the status of „politically exposed person“ (PEP).

Are you a Politically exposed Person?    Yes    No

type of the employment    employed    public official    Self employed    other

Current status    independent    unemployed    student    retirement

Learned occupation

Field of business

Occupational group

Origin of income

## SECURITY QUESTIONS

**Security question 1:** What is the title of your favorite book?

**Security question 2:** What is/was your father's occupation?

**Security question 3:** What is the name of your first boss?

## INFORMATION PURSUANT TO THE SECURITIES TRADING ACT

According to § 63 Abs. 10 WpHG FXFlat is obliged to consult your financial circumstances, as well as your knowledge and experience in relation to CFD transactions and risk appetite.

### TRADING EXPERIENCE

Stocks, Investment funds, ETF's, Certificates

Trading experience in years (at least 1 year)

Number of trades in the past three years

Fixed-interest bonds, interest-bearing products, Savings accounts

Trading experience in years (at least 1 year)

Number of trades in the past three years

Derivates, futures, CFDs, forex

Trading experience in years (at least 1 year)

Number of trades in the past three years

### FINANCIAL INFORMATION

Estimated net worth

Current income    Sale of property or company    Endowment or pension insurance    Risk Capital

Other income

Available funds

Estimated annual income

Estimated annual income    necessary to finance the cost of living    Venture capital

The account holder trades for his own account      Yes      No

First name of the client

Family name of the client

Address of the client

Email of the client

## REFERENCE ACCOUNT

First name of the account holder

Family name of the account holder

Name of the credit institute

IBAN

SWIFT-BIC

or

Bank account number

Bank code

## CONSENTS AND ACKNOWLEDGMENTS

Please accept the following notes and information:

### Notice of execution principle

References to own execution principles and the execution principles of linked market makers: Our "Execution Principles within the commission business and execution locations" according to III. A) 4) of the "Customer Information" can be found here: <https://www.fxflat.com/fileadmin/downloads/Kundeninformation-FXFlat.pdf>

### Notice of FXFlat's Commission Business

FXFlat acts as a commission agent in accordance with the relevant GTC passages under II. of the „Special Conditions for the Settlement of Contracts for Difference („ CFD Trading „) and FX Spot Transactions („ Forex Spot Trading „) and will accordingly execute CFD and FX spot transactions several UK-based market makers. For the pricing as well as the operations of the market makers, leading to the conclusion of transactions for the account of the customer between FXFlat and the market makers, we refer to the respective execution principles of the market makers. Please note the following: <https://www.fxflat.com/en/execution-policy/>.

### Confirmation of the correctness and timeliness of the information provided

The customer is responsible for the accuracy and timeliness of information and data provided by them. The customer is obliged to report any changes concerning the given information and data immediately.

### Agreement for back settlement

A transaction can be reversed by FXFlat, if, at a later date an exchange or multilateral trading system cancels or changes the price of the underlying asset; the same applies if FXFlat has no hedging possibility, it being omitted or a market maker cancels or changes a transaction meant to serve the customer execution.

### Agreement of the liquidation of contracts

In the case of an insufficiently funded escrow account FXFlat has the right (but not obligation) to liquidate some positions (contracts) or all asset positions from the customer with the aim of ensuring an adequate coverage of the escrow account.

### Agreement of providing information

The customer receives all information, communication and requests in the manner and form as declared in the special conditions; this is particularly the provision of information within the trading system and its mailbox and, if specified and additionally desired, the customer's designated email address.

### Consent to automatic church tax deduction

Automatic church tax deduction - Only valid for private customers taxed in Germany. As of 01 January 2015, banks are required by law to collect the necessary data for automated church tax deductions from the Federal Central Tax Office (BZSt) between the 01 September to 31 October each year and deduct the church tax accordingly. In addition to the standardized procedure - no action is required from your side - you still have the opportunity to file an appeal or to request an immediate deduction. Please see here for more information.

**Notice that no investment advice will be provided**

FXFlat provides no investment advice to the customer.

**Notice about the responsibility of disturbances**

Although FXFlat emanating from the stability of the trading platform, interference may occur. FXFlat is not responsible for disturbances caused by Force Majeure. Customers who value high safety are recommended to obtain an additional access to a spare trading platform.

**Information on system requirements**

The customer is obliged to procure the systems required for using the trading platform and keep them up to date.

**Information on the security of the collective escrow account t**

The funds in the escrow account is a collection of funds provided by the customers in favor of FXFlat's security (margin); the herein provided funds by the customers may be used by FXFlat for proprietary trading with the customer or for the execution of transactions with a market maker in a commission business.

**Notice about the separation of Assets**

FXFlat will keep all customers' funds necessary for trading (security / margin) together on an escrow account in the name of FXFlat, separated from FXFlat's own assets.

**Notice about sufficient coverage of the escrow account**

The customer is obliged to constantly hold sufficient funds on the escrow accounts, according to necessary margin requirements. FXFlat will execute orders for and with the customer only, if the escrow account is sufficiently covered.

**Notice of the pre- and post-trade cost transparency**

Pre-trade transparency allows you to see the indicative costs before submitting an order. This should give you an idea about the total cost of the transaction after execution. As part of the post-trade cost transparency you will receive a list of all effectively incurred costs at least once a year.

## RECEIVED DOCUMENTS

Basic Agreement

Information Sheet „risk information on transactions in CFDs and spot currency transactions“

Price and Services

Customer Information on FXFlat's services

Contract specifications

Information for church tax deduction

Policies of FXFlat Bank AG

Privacy policy

Execution Policy

Current Date, Location

Signature of customer

